



The
DISCIPLINARY BOARD
of the Supreme Court of Pennsylvania

**GUIDANCE FOR REMOTE PROCEEDINGS
DISCIPLINE OR REINSTATEMENT HEARING**

The following protocols and guidelines are provided to assist participants in preparing for and participating in remote discipline and reinstatement hearings. Participants should be familiar with this document in advance of the hearing.

PARTICIPANTS

Participants in the hearing may include, but are not limited to: Panel of Hearing Committee Member(s) ("Panel"), Office of Disciplinary Counsel staff counsel, respondent or petitioner, counsel for respondent or petitioner, witnesses, court reporter, and Disciplinary Board staff.

IN-PERSON RESTRICTIONS

At the discretion of the Chair of the Hearing Committee Panel ("Committee Chair") and in accordance with any restrictions as set by the Disciplinary Board or Court, certain staff and other participants may be permitted to participate in-person using existing Disciplinary Board or Court space. If more than 1 participant will be in Disciplinary Board or Court space, any restrictions on in-person hearings in place by the Board or Court must be adhered to by those participants. Such restrictions may include, but are not limited to:

- Limitations regarding the number of people allowed in a particular room or suite
- Limitations on the physical distance between the participants (i.e. social distancing)
- Requirements to wear face masks and/or coverings
- Requirements to wash and/or sanitize hands prior to entering the space

OFFICIAL RECORD OF THE HEARING

The official record of the hearing shall be the transcript as generated by the court reporter. If the hearing is a public proceeding, it will be streamed on YouTube Live for the public to view.

PROTOCOLS FOR TECHNOLOGY

TECHNOLOGY REQUIREMENTS

Upon guidance from the Administrative Office of Pennsylvania Courts (AOPC), the Disciplinary Board utilizes Cisco WebEx technology to conduct secure video conferences. Participants shall ensure that all necessary equipment and software are in good working order prior to the scheduled hearing. Each participant is responsible for his or her own equipment (i.e. laptop, desktop, smartphone, webcam, etc.).

In order to participate in the remote hearing, each participant must have the following technology available for use:

- Access to one of the following types of equipment:
 - (1) computer with a webcam, microphone, and speakers (a headset with microphone is recommended);

- (2) video-conferencing system that supports Session Initiation Protocol (SIP) calling;
- (3) a tablet running Apple iOS or Google Android that has a forward-facing camera, microphone, and speakers; or,
- (4) a smartphone running Apple iOS or Google Android that has a forward-facing camera, microphone, and speakers.

** If connecting from a laptop, tablet, or smartphone, the device should be plugged into wall power.*

- An internet connection download speed of at least 1.0 Mbps and upload speed of at least 1.5 Mbps (to test your internet connection, please visit <https://www.speedtest.net/>).
- An email address to be used to receive the invitation to the hearing. The invitation will come from a "@pacourts.us" email address.

GETTING STARTED WITH CISCO WEBEX

Participants should have the WebEx Meetings application downloaded to their computer or device. The application can be downloaded at <https://www.webex.com/downloads.html>. Click the blue Download button to begin downloading the application.

If possible, you may wish to download the Cisco WebEx application to a secondary device that has access to a data plan (i.e. smart phone). This will provide a backup in the event you encounter internet connectivity problems with your primary device.

Participants are encouraged to further familiarize themselves with the Cisco WebEx technology. Specifically, the following are recommended:

- [Getting Started with Cisco Webex Meetings for Attendees](#)
- [Join a Webex Meeting](#)
- [Choose Your Audio and Video Settings Before You Join a Webex Meeting or Event](#)
- [Cisco Webex Meetings Video Tutorials](#)

USING WEBEX AND PREPARING FOR THE HEARING

Close all other applications on your computer (e.g. Microsoft Edge, Internet Explorer, Chrome, etc.), your email (e.g. Microsoft Outlook, Gmail, etc.), and calendar. This will also prevent any application from inadvertently making sounds during the hearing (such as calendar or email alert chimes). If you have remote or virtual private network (VPN) access to any other system, ensure you are disconnected from such remote access so as to prevent technical difficulties.

Sit facing a light source (i.e. face a window or a desk lamp) so that your face is well-illuminated and clearly visible. Sitting with your back to a window or bright light source reduces video quality and reduces your image to a silhouette.

Locate your device's camera and make sure the lens is not blocked. Position the camera as close to eye level as possible rather than tilting your screen. Consider propping up your device on books to elevate it. Make sure your head and shoulders are visible on the screen.

Configure and test your audio and video prior to joining the WebEx conference. Click the “Connect Audio and Video” icon, select your audio playback device and microphone, and then click “Connect Audio and Video.” *If you are using a headset, plug it in to your device prior to logging into the WebEx conference. If you do not plug in the headset prior to joining the hearing, you will have to log out and log back in in order to allow other participants to hear you.*

WEBEX CONTROLS DURING THE HEARING

Participants have access to various functions within the WebEx software.



From left to right, the controls above are:

1. Mute/Unmute microphone
2. Turn On/Off camera
3. Share your desktop or files
4. Recording control (only available to the host)
5. Open/Close the participant list
6. Chat windows
7. Options – has more controls available within
 - a. If you are having audio difficulties with your computer, you can have the system call you directly.
 - i. Click the ... icon and then choose “Audio Connection.”
 - ii. Click the “Call Me” option. Enter the phone number that the system should call and press the switch button. When the call comes in, you will be prompted to press the number “1” to connect.
 - iii. At the end of the proceeding, press the red X to be disconnected.
8. Leave/End the meeting. At the end of the proceeding, press this icon to be disconnected.

DOCUMENT SHARING BETWEEN PARTIES

Before and after the hearing, documents (under 25 MB) should be shared via email among the necessary parties. Upon guidance from the AOPC, the Disciplinary Board utilizes Acronis for file-sharing of large documents with outside parties. An Acronis file-share will be created by the Office of Disciplinary Counsel to share these documents between parties.

PROTOCOLS PRIOR TO HEARING

The protocols outlined below will be employed in advance of the hearing:

- If you have not done so for the pre-hearing conference, within three days of receiving the notice scheduling the hearing, participants must send a response email to Marcee.Sloan@pacourts.us with the following information:
 - Disciplinary Board Docket Number
 - Full Name of Participant

- Role of Participant (i.e. counsel, respondent, hearing committee member, etc.)
 - Email Address for Participant
 - Cell Phone Number for Participant
- All participants will be required to participate in a test session that will be scheduled with Disciplinary Board staff within one week prior to the scheduled hearing.
 - At least one business day prior to the scheduled hearing, the Disciplinary Board shall provide all participants with the information required to connect to the remote conference. This invitation is sent by email from a "@pacourts.us" email address. Participants should monitor SPAM and/or junk folders for the invitation if they have not received it. Participants who have not received the invitation should contact Special Counsel.
 - It is the responsibility of counsel or the pro se respondent or petitioner to provide the connection information to their clients and witnesses.
 - Exhibits should be exchanged between the parties consistent with the deadlines set in the Pre-Hearing Conference Order. Exhibits to be introduced during the hearing shall be electronically shared with all participants (i.e. members of the Panel, Special Counsel, and the other party) at least two business days prior to the scheduled hearing. Consistent with the *Case Records Public Access Policy of the Unified Judicial System*, all exhibits should be reviewed in advance for personal identifying or confidential information and redacted as appropriate.
 - The original and each copy of any exhibit shall be pre-marked with the same number, with the exhibits being denominated sequentially (i.e. Respondent's Exhibit [A, B, C, D], or Petitioner's Exhibit [1, 2, 3, 4], etc.). Each marked exhibit shall clearly identify the party who is offering the exhibit.
 - Exhibits shared with the Panel and Special Counsel should contain a notation as to whether or not the opposing party has objected to the exhibit.
 - Within one business day of the proceeding, all exhibits shall be presented via email to the court reporter for inclusion with the transcript of the proceeding.
 - Participants must appear by video connection unless otherwise authorized by the Panel.
 - Participants should join the WebEx conference approximately 15 minutes before the scheduled start time. When joining the WebEx conference, each participant will be prompted to enter their full name and email address. It is important to use your full name, as this will be displayed to all participants. Once logged in to the WebEx conference, each participant will be placed in the virtual lobby and will be unable to communicate with others in this location. Upon the opening of the proceeding by Disciplinary Board staff, the participants will be brought into the meeting room. Once all participants are present and have confirmed both audio and video functionality, the Panel will commence the hearing.

PROTOCOLS DURING THE HEARING

The Panel shall conduct the hearing as if all parties were physically present in the same physical space, subject to any rules specifically adopted for virtual hearings by the Disciplinary Board.

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The scheduled hearing is a formal proceeding and is therefore an extension of the courtroom. The Panel has the power and duty to conduct the hearing as if all participants were present in the same physical courtroom. General guidance for participant conduct is "if you would not do it in a courtroom, do not do it in the remote conference."

Appropriate attire and camera background are expected. Remote participants shall use a private and quiet space and provide for no interruptions, just as if the participants were in the physical courtroom. Appearing for the remote hearing while outdoors, in-vehicle, occupying a public space, or performing personal functions is not permitted.

WITNESSES AT THE HEARING

It is the responsibility of the party calling the witness to prepare the witness and to ensure the witness has the necessary technology to participate in the remote hearing from his or her location. Witnesses will be placed in the virtual lobby and will be unable to communicate with any others in this location. When the witness is called to testify, he or she will be brought into the meeting room to verify appropriate audio and video connectivity, and to commence testimony.

EXHIBITS AT THE HEARING

Participants should use the share functionality within WebEx to share exhibits with all participants (*see WebEx Controls During the Hearing, as found on page 3 of this document*).

CONFIDENTIAL COMMUNICATION

In the event a confidential communication must occur between the respondent or petitioner and his or her counsel, the Panel shall consider the request. If the request is granted, the Committee Chair shall provide an appropriate time limitation for the communication. At the direction of the Committee Chair, Disciplinary Board staff shall place all parties in the virtual lobby during this time. Such confidential communication should take place outside of WebEx (e.g. telephone). At the expiration of the time limitation, Disciplinary Board staff shall move all parties from the virtual lobby into the meeting room. Communications which are otherwise prohibited in the physical courtroom shall also be prohibited in the remote conference.

TECHNICAL DIFFICULTIES DURING THE HEARING

Any participant who encounters technical difficulty during the hearing shall first try to reconnect to the WebEx conference. In the event that a participant is unable to reconnect, the participant shall immediately notify Special Counsel of the difficulty via telephone at (717) 231-3390. In the event of technical difficulty during the hearing which cannot be overcome, the Panel shall have the discretion to continue the hearing until such time as the parties can reconvene, but not longer than 5 business days from the date of the hearing. An objection to the quality of a remote proceeding shall be made at the time the connection is substantially impaired, but must be made on the record prior to the conclusion of the hearing. Any objection made thereafter will be deemed untimely.

TIPS FOR PARTICIPATION USING REMOTE TECHNOLOGY

All participants are encouraged to follow these tips for successful participation:

- Enter your name completely (e.g. John M. Doe) when signing in.
- You should “mute” yourself when not speaking in order to avoid background noise. The host of the WebEx conference may mute any participant if background noise is disruptive.
- Use earbuds, headphones, or a headset with microphone to avoid feedback and ensure good audio quality.
- Be mindful of your behavior. On a video conference, you are visible at all times. If you wouldn't do it in the courtroom, don't do it on the video conference.
- Be respectful of others when talking. Participants should speak one at a time and pause briefly prior to speaking in the event of any audio or video lag.
- Position the camera at or slightly above eye level. Do not hand-hold mobile devices and do not lay phones or tablets flat on a desk or tabletop.
- Check your lighting. Ideally, sit facing a window or position a lamp where light is directly on your face. Do not position yourself in front of a window, as the light may blind the camera and darken the image.
- Dress in a soft solid color. If wearing a tie, choose a solid color.

Adopted 2020.06.07